

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

**Ann P. Holloway et al.**

**March 10, 2004**

Serial No.: [not assigned]

Art Unit: 1626

Examiner: **Powers, Fiona**

Title: **YELLOW DYES AND INK COMPOSITIONS**

**Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**


**To Be Filed With The Original Patent Application  
INFORMATION DISCLOSURE STATEMENT**

Continuation-in-Part of Application No. 10/265,547

Enclosed are copies of the substitute form 1449 and Notice of References cited which enter all of the references cited in the parent application. A separate substitute for 1449 is enclosed listing all those references for the possible convenience of the examiner to enter those references in this application. The 1449 also lists the patent referenced in paragraph 0035, which was referenced as a serial number in the parent application, and a copy of that patent is enclosed.

This statement is filed under Patent and Trademark Office Rules of Practice 1.97 and 1.98 and shall not be construed as a representation that a search has been made or that no better art exists. A citation of background art in this document is not intended to imply that such art will be cited at other stages of the prosecution of this application.

Respectfully submitted,  
**Ann P. Holloway**

  
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

**Complete if Known**

Application Number

Filing Date

First Named Inventor

Ann P Holloway

Art Unit

Examiner Name

Attorney Docket Number

2001-0179.00A

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 1,667,312	04/24/1928	Fritzsche et al.	
		US- 3,459,729	08/05/1969	Crotti et al.	
		US- 3,565,882	02/23/1971	Doss	
		US- 4,777,248	10/11/1988	Greenwood, David	
		US- 5,268,459	12/07/1993	Gregory et al.	
		US- 5,496,381	03/05/1996	Russ et al.	
		US- 5,721,344	02/24/1998	Baettig, Kurt	
		US- 5,824,785	10/20/1998	Baettig et al.	
		US- 6,290,763	09/18/2001	Millard et al.	
		US- 6,320,031	11/20/2001	Baettig et al.	
		US- 6,646,024 B2	11/11/2003	Beach et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		JP JP-59-8775	01/18/1984			
		WO WO-99/64526	12/16/1999	Watkinson et al.		
		EP EP-1031614	08/30/2000	Baettig et al.		
		WO WO-00/54807	09/21/2000			

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	
				Filing Date	
				First Named Inventor	
				Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	2001-0179.00A

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Chemical Abstracts, 100:193,751, 1984	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	
				Filing Date	
				First Named Inventor	Ann P Holloway
				Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	2001-0179.00

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10/265547  
10/07/02

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Examiner Signature	<i>Laura T. Powers</i>	Date Considered	<i>10/14/03</i>
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Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**Notice of References Cited**

Application/Control No.

10/265,547

Applicant(s)/Patent Under  
Reexamination  
HOLLOWAY ET AL.

Examiner

Fiona T. Powers

Art Unit

1626

Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-5,268,459	12-1993	Gregory et al.	534/758
*	B	US-5,721,344	02-1998	Baettig, Kurt	534/776
*	C	US-6,290,763	09-2001	Millard et al.	106/31.48
*	D	US-6,320,031	11-2001	Baettig et al.	534/803
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N	JP-59-8775	01-1984	Japan	-----	-----
	O	WO-99/64526	12-1999	WIPO	Watkinson et al.	-----
	P	EP-1031614	08-2000	Europe	Baettig et al.	-----
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**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Chemical Abstracts, 100:193751, 1984.
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
 Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.